



# Conduct Rigorous and Scientific Research

Chang Xu

Department of Computer Science and Technology

Nanjing University

Oct 29, 2021

# Outline



- I. Writing and Presentation
- II. Research Formulation
- III. Experimentation



## III. Experimentation

1. Questions and subjects
2. Experimental design
3. Threats to validity
4. Exercise and discussion



- Experimentation
  - Is not merely a description of the experimental procedure and a list of experimental results
  - Should have a careful *design* (questions and variables) and discussion of potential *threats* (construct validity, internal validity, external validity, and conclusion validity)

# Experimentation and Case Study



- Experimentation
  - In a lab environment
  - Variables (factors) can be isolated and *controlled*
  
- Case study
  - Under an industrial (real-world) setting
  - Hard to repeat
  
- We mostly conduct *controlled experiments*

# Key Points (1)



- *Questions* to answer
  - Is Tool A *better* than Tool B?

Why would we expect it to be better?

Why do we need to know?

What will we do with the answer?

Better at **doing what?**

Better in **what way?**

Better in **what situations?**

E.g., Study or physical exercise?

E.g., Efficiency or durability?

E.g., In classroom or dorm?

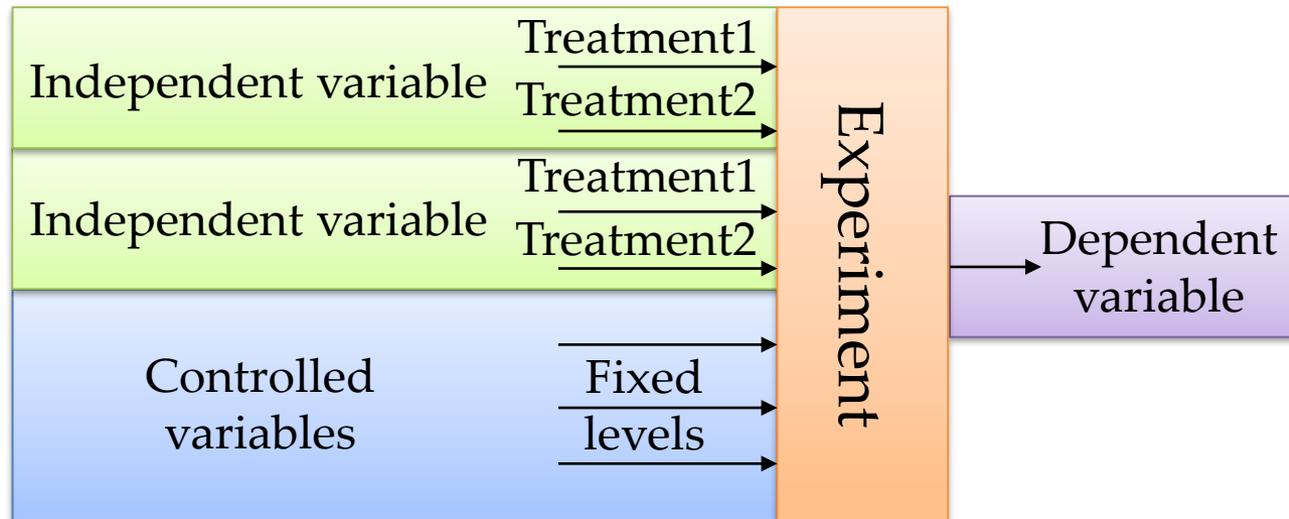
# Key Points (2)



- *Subjects* selected
  - Sample of what population?
  - Consider the representativeness
  
- *Variables* and *threats* to validity
  - Variables: See the next page
  - Threats to validity: See an example

# Variables

- Independent variables (factors)
- Dependent variables
- Controlled variables



# Example



- Name
  - Stuart Bean ("stu")
- Topic
  - Merging stakeholder views in model-driven development
- Status
  - 2 years into his PhD study
  - Has built a tool
  - Needs evaluation



# Stu's Evaluation Plan



## ■ Experiments

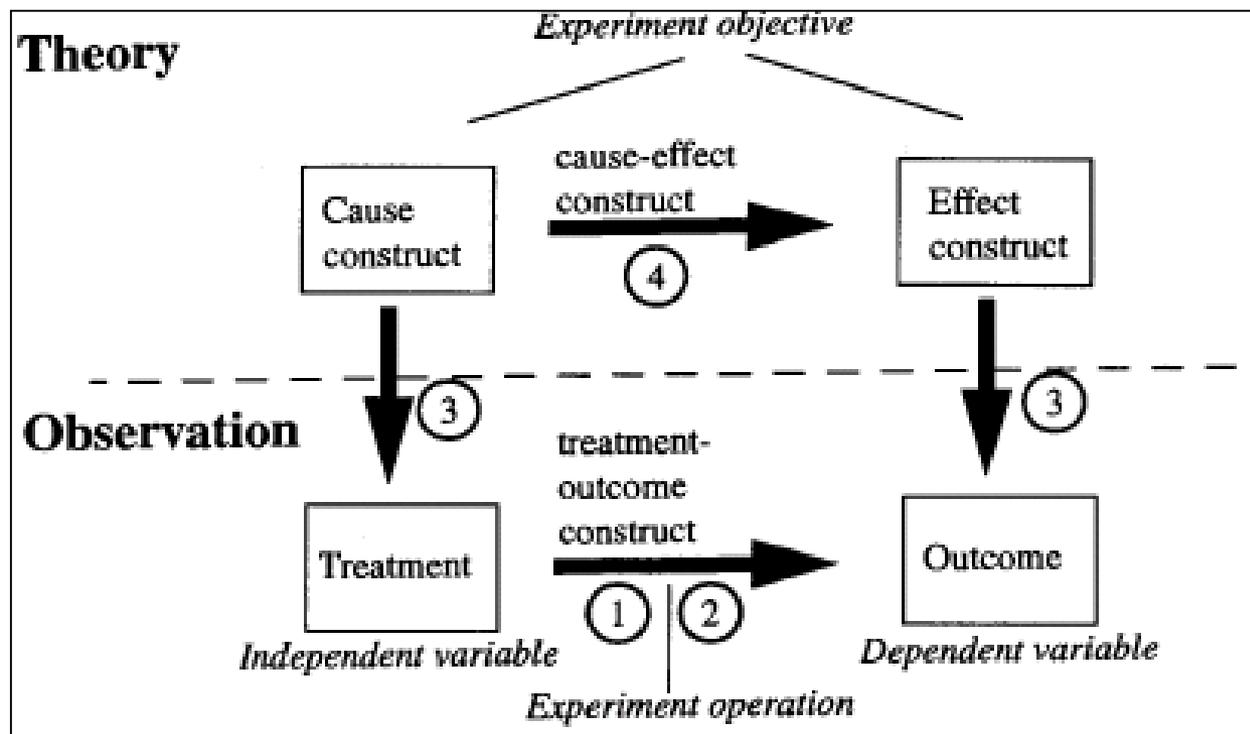
- Independent variable: Stu-merge vs. Rational Architect (RA)
- Dependent variables: correctness, speed, assessment
- Controlled variables: task (merging class diagrams from two different stakeholders' models), subjects (graduate students in software engineering)

## ■ Hypotheses

- H1: Stu-merge produces **correct** merges **more often** than RA
  - H2: Subjects produce merges **faster** with Stu-merge than RA
  - H3: Subjects **prefer** using Stu-merge to RA
- H1 accepted (strong evidence), H2 & H3 rejected

# Threats to Validity Analysis

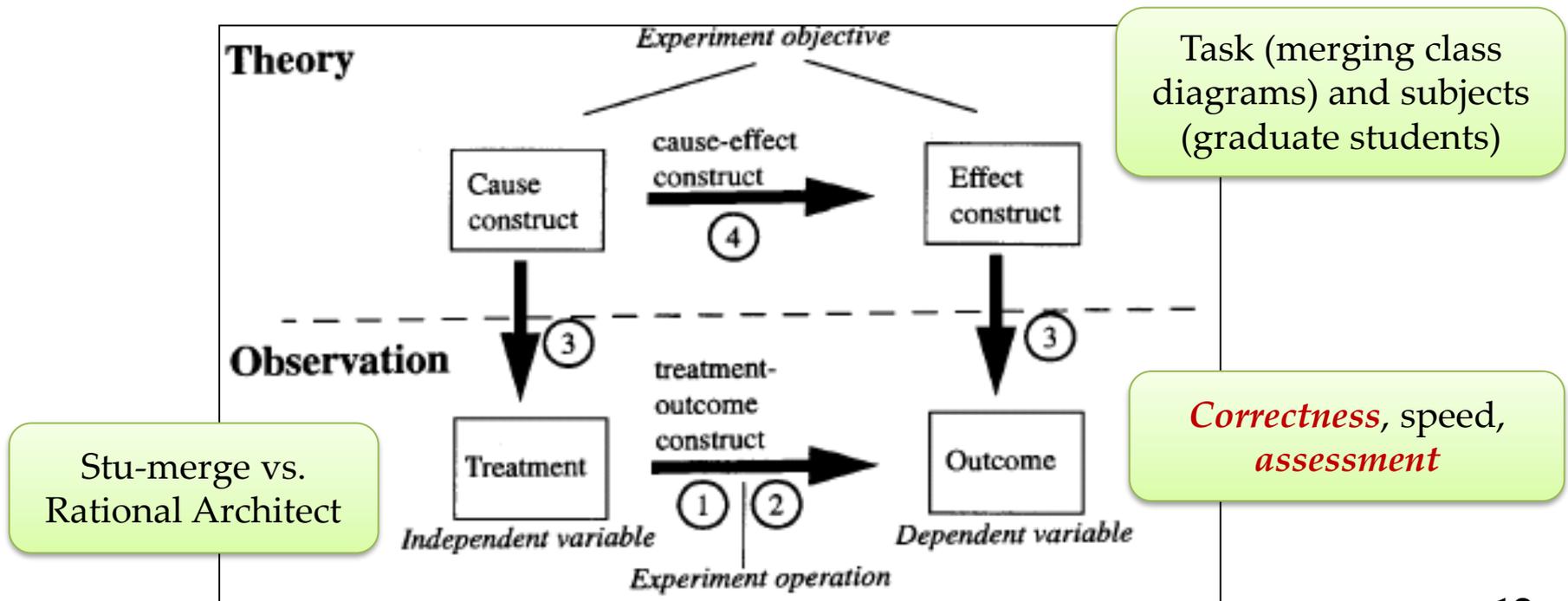
- ③ construct validity    ② internal validity
- ④ external validity    ① conclusion validity



# Threats to Validity (1)



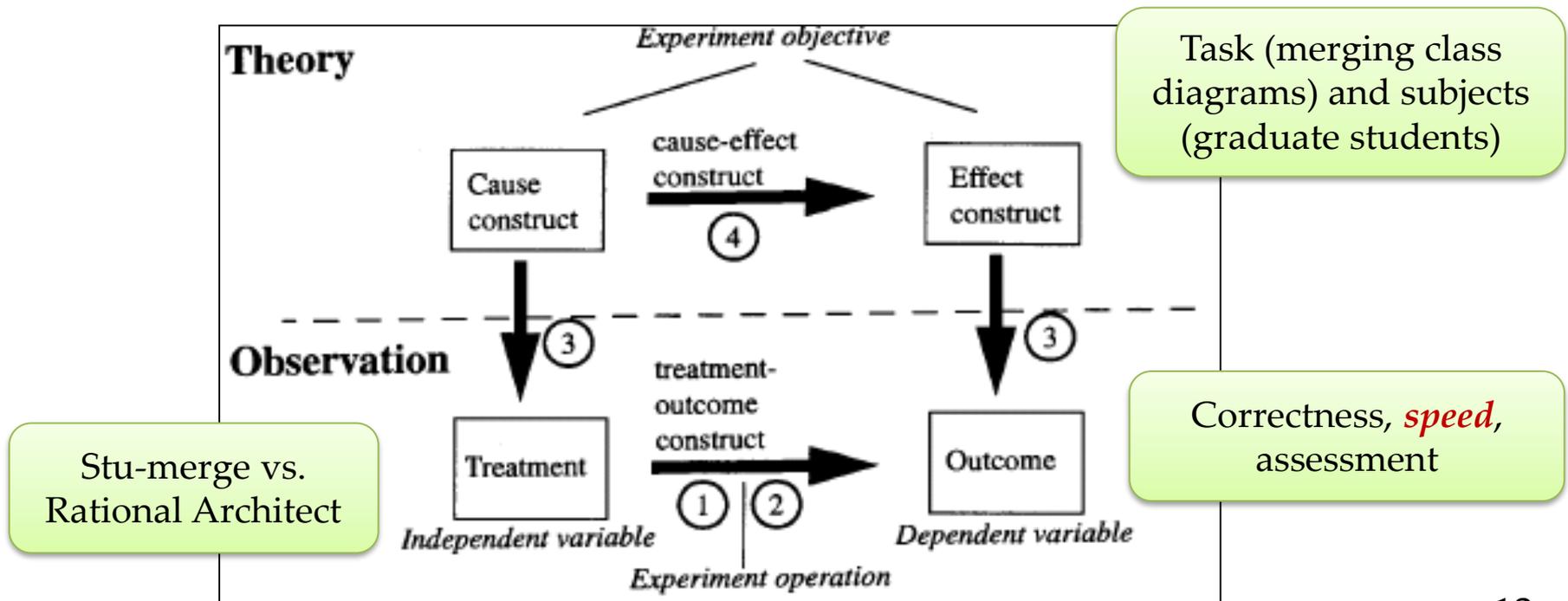
- ③ construct validity
  - What do we mean by a merge? What is correctness?
  - 0-5 point scale for subjective assessment - insufficient discriminatory power (both tools scored very low)



# Threats to Validity (2)

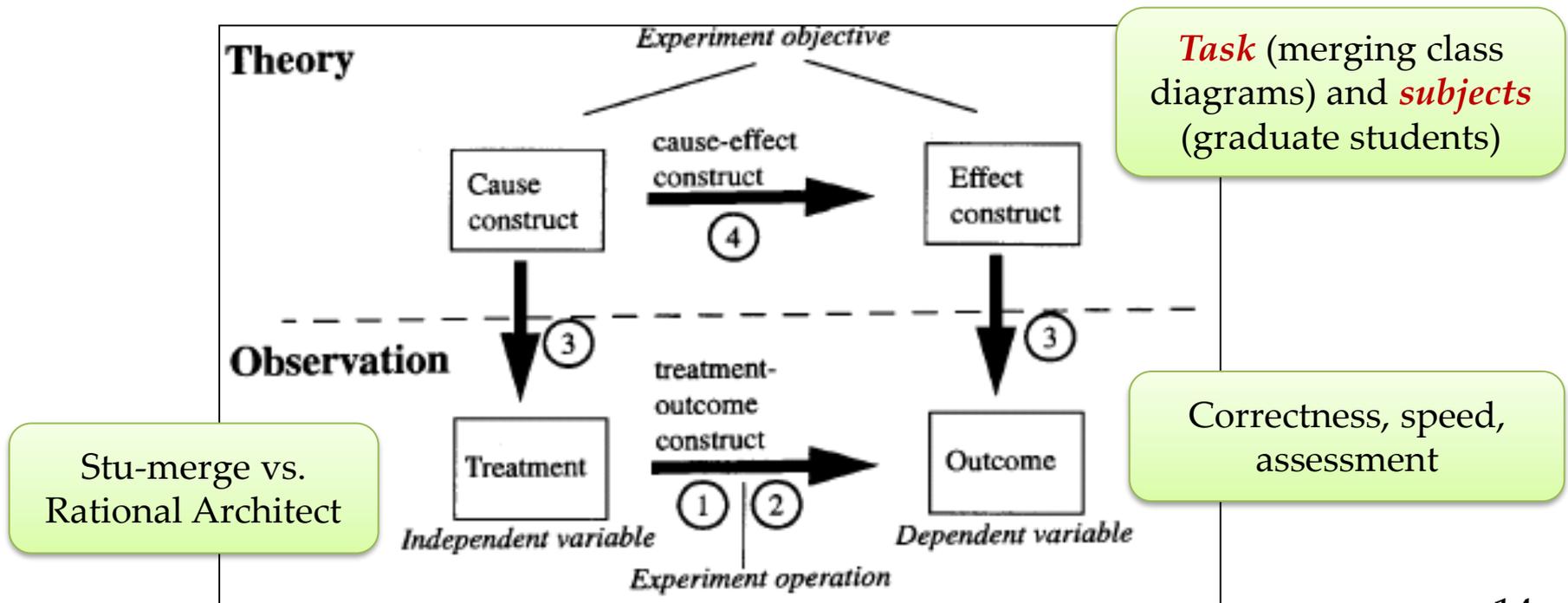


- ② internal validity
  - *Confounding* variable: time taken to learn the tool (subjects were all familiar with RA, not with Stu-merge)



# Threats to Validity (3)

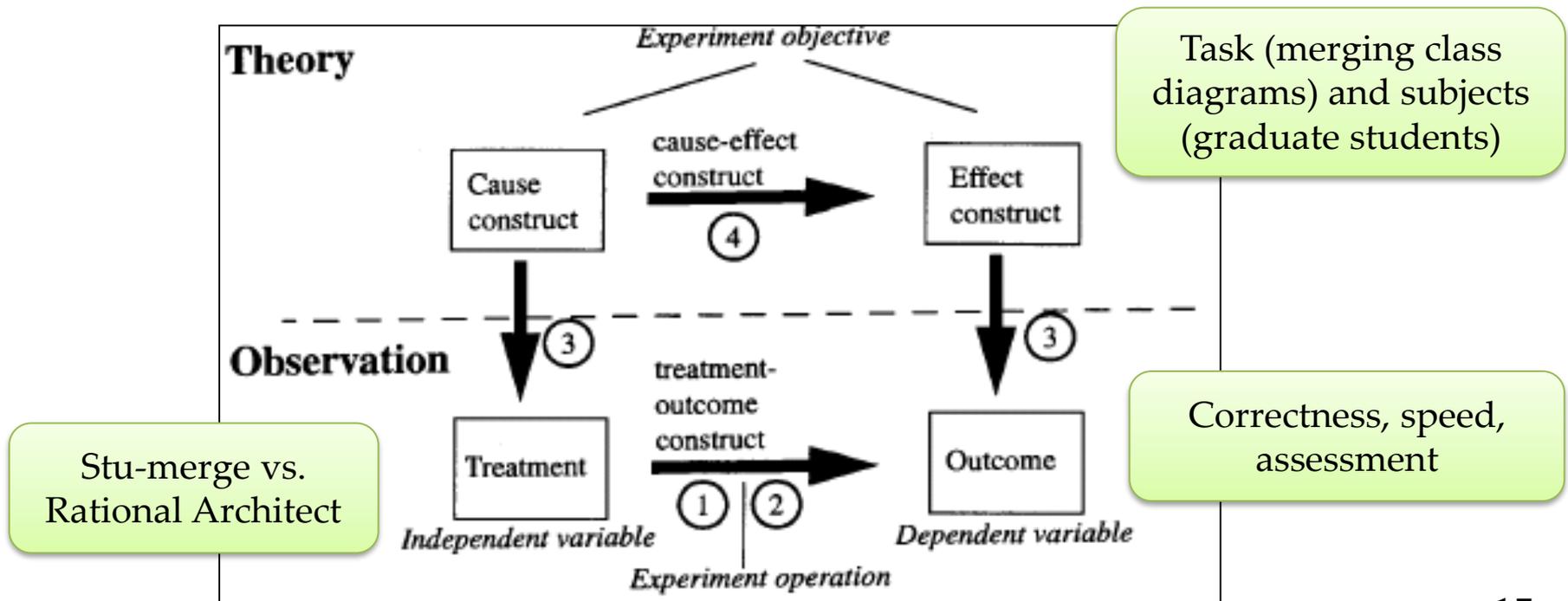
- ④ external validity (representativeness)
  - Task: class diagram models were of a toy problem
  - Subject: graduate students as sample of what population?



# Threats to Validity (4)



- ① conclusion validity (theoretical reliability)
  - Bias: subjects knew Stu-merge was Stu's own tool



# Exercise



- Describe your experimental design
  - *Questions* to answer
  - *Subjects* to select
  - *Independent* variables, *dependent* variables, and *controlled* variables (no *confounding* variable)
  
- Answer questions about
  - *Threats* to construct validity, internal validity, external validity, and conclusion validity
  - Why do they *not affect* your conclusion?